



Please return to:

Information according to § 11 (6) GwG for legal entities (limited liability company, limited partnership with a limited liability company as a general partner, stock company etc.)

01 Identification of the contractual parties in the transaction

1 Contracting party

Contracting party is

Seller	<input type="checkbox"/>	Landlord	<input type="checkbox"/>
Buyer	<input type="checkbox"/>	Tenant	<input type="checkbox"/>

Name of **contracting party** (please enter the full company name according to the register entry and attach a register excerpt not older than 3 months):

Register number, court of registration:

Full address of the registered office / head office (street, zip code, city, country)

2 Representative bodies

a) The legal representative(s) of the contracting party is/are natural person(s):

Surnames and first names of the members of the representative body (unless evident from the attached commercial register excerpt)

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2	<hr/>
3	<hr/>
4	<hr/>
5	<hr/>

This document is a standard-questionnaire of the Legal & Compliance Initiative Real Estate in order to obtain legally required information from clients and contracting parties according to the German Money Laundering Act. The below stated entities are members of the Legal & Compliance Initiative Real Estate.

b) The representative body of the contracting party is a legal entity:

Full company name
(according to commercial register entry)

Register number and court of registration

Full address of the registered office/
Head office

First name(s) and surname(s)
of the legal representative(s)

Is the contracting party a company that belongs
to a group?

Yes No

If Yes, name of parent / controlling company:

Is the contracting party and / or the parent
company listed on a stock exchange?

Yes No

If Yes, name of the listed company:

Name and place of the stock exchange:

(ISIN) International Securities Identification Number:

02 Identification of the beneficial owner(s)

In the case of legal entities other than foundations with legal capacity and other companies that are not listed on an organized market in accordance with [§ 2 (11) GwG] of the German Securities Trading Act (Wertpapierhandelsgesetz) and are not subject to any transparency requirements under Community law (Gemeinschaftsrecht) with regard to voting rights or equivalent international standards, any natural person who directly or indirectly

- (1.) holds more than 25 percent of the capital shares or
- (2.) controls more than 25 percent of the voting rights or
- (3.) exercises control in a comparable manner, [§ 3 (2) GwG].

Are there natural persons / beneficial owners who directly or indirectly hold or control more than 25% of the shares / voting rights in the contracting party or the parent company / controlling company or are the main beneficiaries? Yes No

If **YES**, please provide the following for each person (please use supplement sheet, if appropriate):

Name and surname:	<input type="text"/>
Date of birth:	<input type="text"/>
Place of birth:	<input type="text"/>
Nationality:	<input type="text"/>
Address:	<input type="text"/>
Name and surname:	<input type="text"/>
Date of birth:	<input type="text"/>
Place of birth:	<input type="text"/>
Nationality:	<input type="text"/>
Address:	<input type="text"/>

If **NO**,

Please confirm that all managing directors are entered in the transparency register as fictitious beneficial owners..

Please enclose a current excerpt from the transparency register (not older than 3 months)

03 Politically exposed Person (PEP)

PeP is anyone who hold or has held a high ranking important public office, or an immediate family member of such person, or a person known to be close to such person, who is to be included in this group. Persons who hold or have held important public office include, for example, heads of government, ministers, secretaries of state, members of parliament, members of supreme courts, members of audit offices or boards of central banks, ambassadors, high-ranking officer of the armed forces, or members of the administrative, management or supervisory bodies of state-owned enterprises. The nationality of such person(s) is irrelevant in this respect.

Is or has been within the last 12 months a member of the representative body of the contracting party or one of the beneficial owners or their immediate family members or persons close to them a politically exposed person, e.g. a politician, a government employee or a minister?

Yes No

If **YES**, please provide:

1. The full name(s) and surname(s) of the(se) individual(s) person(s) (Please use supplement sheet, if necessary):

2. Description and duration of the executed public office:

3. Information about the origin of the assets used within the (financial) transaction according to § 15 (4) S. 1 No. 2 GWG:

04 Identification of the person acting on behalf of the contracting party [sections 10 (1), 11 (4), 12 (1), (2) GWG]

According to § 10 (1) GWG, our employees must not only identify the contractual partner, but also the person possibly acting on behalf of the contractual partner in accordance with § 11 (4) and § 12 (1) and (2) GWG. This is the person who acts as the main contact for the respective contract towards the broker. The identity data must be documented and recorded with copy of the identity card.(name, date of birth, place of birth, nationality, address and type, number and issuing authority of the document submitted for verification of identity). Unless the agent is a corporate body or authorized signatory of the company, the power of attorney must be proven to the broker.

Surname and first name of the person acting on behalf:

The power of representation results from:

For natural persons

Legal Representative (power of attorney)

For legal entities

Position as a corporate body of the company

Legal power of representation (power of attorney)

Other*

* Please enclose proof of representation.

05 Other comments

06 Mandatory attachments

- Extract from the commercial or cooperative register or an extract from a comparable official register.
- Organizational chart or a comparable overview of the company structure showing the control and shareholding relationships.
- Extract from the transparency register.
- Power of attorney / Proof of authorization of the person acting on behalf of the contracting party
- ID copy of the person acting on behalf of the contracting party

I am aware that, pursuant to § 11 (6) of the German Money Laundering Act (GwG), I am subject to legal obligations to cooperate with regard to obtaining the information requested here and that I am legally obligated to immediately report any changes to the above information that occur in the course of the business relationship.

Place, Date

Name in capital letters

Position

Signature