



Please return to:

Information according to § 11 (6) GWG concerning natural persons, foundations and partnerships (e.g. civil partnership, general partnership, corporation, partnership company, community of heirs)

01 Identification of contracting party in the transaction

Contracting party is

Seller	<input type="checkbox"/>	Landlord	<input type="checkbox"/>
Buyer	<input type="checkbox"/>	Tenant	<input type="checkbox"/>

Name, first name of the contracting party and name, first name of shareholder:

Information about the natural person:

Date of birth and place of birth:

Nationality:

Address:
(street, postal code, city, country):

Please attach a **copy of an ID card** (front and back).

INFORMATION PURSUANT TO [§ 11 (6) GWG] FOR NATURAL PERSONS, FOUNDATIONS AND PARTNERSHIPS | PAGE 1 OF 14

This document is a standard-questionnaire of the Legal & Compliance Initiative Real Estate in order to obtain legally required information from clients and contracting parties according to the German Money Laundering Act. The below stated entities are members of the Legal & Compliance Initiative Real Estate.

02 Identification of the Beneficial Owner

Pursuant to §3 (1) GWG, the beneficial owner is any natural person at whose instigation a transaction is ultimately carried out or a business relationship is ultimately established. This means that a legal entity can never be the beneficial owner.

In order to fulfil the identification obligation, the names of the person (s) at whose instigation the transaction is ultimately carried out or the business relationship is ultimately established.

Are you acting on your own behalf? Yes No

If **NO**, please provide the following for each beneficial owner (please use supplement sheet, if necessary):

Name and surname:

Date of birth:

Place of birth:

Address:

Nationality:

Name and surname:

Date of birth:

Place of birth:

Address:

Nationality:

03 Politically Exposed Person (PEP)

A PeP is someone who holds or has held an important office with decision-making powers, such as a member of parliament, a member of government, state secretary, member of supreme courts or governing bodies of state-owned companies; members of the governing bodies of central banks; also anyone who is or has been an ambassador, chargé d'affaires or high-ranking officer of the armed forces. Close family members are also included. This includes spouses/registered partners, children and their spouses/ registered partners as well as parents.

Are you (if you are the contracting partner or beneficial owner) or have you been in the last 12 months a PEP, e.g. a politician, a government employ-ee, a minister? If **YES**, please provide:

Yes

No

1. Full first and last name of the person(s) concerned (please use a supplementary sheet if necessary):

2. Description and duration of the executed political/public office:

3. Information on the origin of the assets used in this business relationship / transaction (see Sec. 15 (4) S.1 No. 2 GwG):

04 Identification of the person acting on behalf of the contracting party [sections 10 (1), 11 (4), 12 (1), (2) GWG]

According to Section 10 (1) GwG, in addition to the contracting party, our employees must also identify any person acting on behalf of the contracting party in accordance with the provisions of [Section 11 (6) and Section 12 (1) and (2) GwG. This is the person who acts as the main contact person for the respective contracting party vis-à-vis the broker. The identity details must be documented by means of a copy of the identity card and, if the person is not an officer or authorised signatory of the company, the power of attorney must be proven to the broker (by means of a copy of the power of attorney).

Surname and first name of the person acting on behalf:

The power of representation arises from:

For natural persons

Legal power of representation (power of attorney)

For legal entities

Position as an organ of the company

Proxy

Legal power of representation (power of attorney)

Other**

Please enclose proof of power of attorney

05 Mandatory attachments

- ID copies of all shareholders listed under 01
- Register extract, if available
- Extract from the transparency register (UBO register), if available
- Power of attorney / Proof of authorization of the person acting on behalf
- ID Copy of the person acting on behalf

I am aware that, pursuant to Sec. 11 (6) of the German Money Laundering Act (GwG), I am subject to legal obligations to cooperate with regard to obtaining the information requested and I am legally obligated to immediately report any changes to the above information that occur in the course of the business relationship.

Place, Date:

Name:

Signature

Note on completing the form for partnerships:

For partnerships (e.g. BGB companies, GbR companies, OHG companies, KG companies), please enter the name and address of the partnership under section I of the questionnaire. For the individual persons, please attach a supplementary sheet to the questionnaire, which we can use to obtain the first name, surname, date and place of birth, nationality and address of each individual partner in the partnership.

If the client is a BGB company, all natural persons directly behind the company (partners) be documented, regardless of the percentage of their shareholding or their voting rights/control options (e.g. by means of an extract from the partnership agreement).

Note on completing the questionnaire for foundations:

For foundations, please enter the name and address of the foundation under section I of the questionnaire. For the following persons, we also require the first name, surname, date and place of birth, nationality and address.

In the case of foundations with legal capacity and legal structures through which assets are managed or distributed on a fiduciary basis or which commission third parties to manage or distribute them, or in the case of comparable legal forms, the beneficial owner may be:

- any natural person acting as a settlor, trustee or protector, if any,
- any natural person who is a member of the foundation's board of directors,
- any natural person who has been designated as a beneficiary,
- the group of natural persons for whose benefit the assets are to be managed or distributed, provided that the natural person who is to become the beneficiary of the managed assets has not yet been designated, and
- any natural person who otherwise exercises direct or indirect control over the management of the assets or the distribution of income.

When **acting on behalf of another party**, the beneficial owner is the person on whose behalf the transaction is carried out. If the contractual partner acts as a trustee, it also acts on behalf of another party.